FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) & COMMON REPORTING STANDARD (CRS) INDIVIDUAL SELF-CERTIFICATION FORM

中国人寿 CHINA LIFE | 新加坡 SINGAPORE

中国人寿保险(新加坡)有限公司
China Life Insurance (Singapore) Pte. Ltd.

Policy Number: _____

Important Notes:

- **This is a self-certification form provided by a policy owner/proposer/trustee/beneficiary/nominee of a trust nomination/assignee/beneficial owner ("Account Holder") to China Life Insurance (Singapore) Pte. Ltd. ("CLIS"), a reporting financial institution, for the purpose of automatic exchange of financial account information. The data collected may be transmitted by CLIS to the Inland Revenue Authority of Singapore for transfer to the tax authority of another country/ jurisdiction.**
- **An Account Holder must report all changes in his/her tax residency status to CLIS.**
- **All parts of the form must be completed (unless not applicable or otherwise specified). If the space provided is insufficient, please continue on additional sheet(s).**
- **As CLIS is unable to give tax advice, please consult a tax adviser, visit IRAS's website (http://www.iras.gov.sg/) or OECD automatic exchange of information portal (http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax residency/) for more information.**

PART 1 - PARTICULARS OF INDIVIDUAL ACCOUNT HOLDER

Name of Account Holder (as shown in NRIC/FIN or Passport)				
Identity Card or Passport Number				
Date of birth (dd/mm/yyyy)				
Current Residential Address				
	Postal Code		Country	
Mailing Address, if different from residential address				
	Postal Code		Country	
Contact Number	Mobile Number	( ) Country Code		
	Home Number	( ) Country Code		
	Office Number	( ) Country Code		
Email Address				

PART 2 - FOREIGN ACCOUNT TAX COMPLIANCE ACT & COMMON REPORTING STANDARD SELF CERTIFICATION

If you are an entity policy owner, please complete the "Foreign Account Tax Compliance Act (FATCA) & Common Reporting Standard (CRS) Entity Self-Certification Form" which is available at www.chinalife.com.sg

Under local regulations, China Life Insurance (Singapore) Pte. Ltd. ('CLIS') is required to collect information on your tax status. If you are a tax resident outside Singapore, we may transmit your personal and policy information to the Inland Revenue Authority of Singapore ('IRAS') for transfer to the tax authority of another country/jurisdiction.

As CLIS is unable to give tax advice, please consult a tax adviser, visit IRAS's website (http://www.iras.gov.sg) or OECD automatic exchange of information portal (http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax residency/) for more information.

By ticking the relevant boxes and completing the relevant fields in sections A and B below, I :

a) acknowledge and agree that my personal and policy information may be kept by CLIS and provided to IRAS for transmission to the tax authorities of the countries/jurisdictions in which I may be a tax resident under intergovernmental agreements to exchange financial account information;
b) declare that the information I provide relating to my tax status and residence is true, correct and complete to the best of my knowledge and belief;
c) agree that if I fail or refuse to provide information on my tax status and residence, CLIS reserves the right to cancel or terminate the insurance policy without being liable for such cancellation or termination; and
d) undertake to advise CLIS of any change in circumstances which affects my tax residency status or causes the information I have provided to be incorrect or incomplete, and to provide CLIS with a suitably updated self-certification form and declaration within 30 days of such change in circumstances.

A. Declaration of United States ('US') Person# Status

Please select one, whichever applicable:

☐ I do not have any US indicia* and I am not a US person#.

☐ I have one or more US indicia* and I am not a US person#. (Please complete Form W-8BEN and provide supporting documents).

☐ I have one or more US indicia* and I am a US person#. (Please complete Form W-9).

#US person means a US citizen or resident individual, a partnership or corporation organised in the US or under the laws of the US or any State thereof, a trust if (i) a court within the US would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more US persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US.

*US indicia means a US citizenship, US residency (green card holder), US taxpayer identification number, US place of birth, US residential or mailing address, US telephone number, standing instructions to transfer funds to an account maintained in the US and a currently effective power of attorney or signatory authority granted to a person with a US address.

B. Declaration of Tax Residency under Common Reporting Standard

Please complete the table below indicating:

a) the country(ies)/jurisdictions (including Singapore) where you are a resident for tax purposes; and

b) your Taxpayer Identification Number ('TIN') for each country/jurisdiction where you are a tax resident.

If you are a Singapore tax resident, your Singapore TIN is your NRIC, FIN, Income Tax Reference Number or the IRAS Assigned Tax Reference number.

If you are a tax resident in more than five countries/jurisdictions, please continue on additional sheet(s).

If a TIN is unavailable, please provide the appropriate reason **A, B or C** where indicated below:

Reason A – The country/jurisdiction where you are resident does not issue TINs to its residents
Reason B – You are otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)
Reason C – No TIN is required (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

No	Country/Jurisdiction of Tax Residence	Tax Identification Number (TIN)	If TIN is not available, please tick the reason code	If Reason B is selected, please indicate why TIN is not available.
1			□ A □ B □ C	
2			□ A □ B □ C	
3			□ A □ B □ C	
4			□ A □ B □ C	
5			□ A □ B □ C	

C. Clarification of Tax Residency Information Provided

If your residential address, mailing address or contact number does not correspond with your declared country(ies)/jurisdiction(s) of tax residence, please select a reason that applies:
Tick ONE only and submit relevant supporting documents

☐ Student at an education institution in the country of residence.

☐ Working in the country of residence for less than 6 months.

☐ On an educational or cultural exchange visitor program.

☐ Regular travel between jurisdictions for work and home.

☐ Others, please elaborate:

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PART 3 – DECLARATION AND SIGNATURE

I acknowledge and agree that:

(a) the information contained in this form is collected and may be kept by China Life Insurance (Singapore) Pte. Ltd. ("CLIS") for the purpose of automatic exchange of financial account information; and

(b) such information contained in this form and information regarding the Account Holder and any reportable account(s) may be reported by CLIS to the Inland Revenue Authority of Singapore and exchanged with the tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be resident for tax purposes.

I certify that I am the Account Holder (or am authorised to sign for the Account Holder) identified in Part 1 of this form.

I undertake to advise CLIS of any change in circumstances which affects the tax residency status of the Account Holder identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide CLIS with a suitably updated self-certification form within 30 days of such change in circumstances.

I understand that under the Singapore Laws on International Tax Compliance, it is an offence for a person to provide information regarding his/her tax residency status which is false or misleading in any material respect, if such person knows or has reason to believe that such information is false or misleading. I am also aware that such offence is punishable with a fine not exceeding S$10,000 or imprisonment for up to 2 years or to both.

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

Signature: Name: Date (DD/MM/YYYY):

Note: If you are not the Account Holder, please indicate the capacity in which you are signing the form. If you are signing under a power of attorney, please also attach a certified copy of the power of attorney.

Capacity:

APPENDIX – SUMMARY DESCRIPTIONS OF SELECT DEFINED TERMS

Note: The following selected definitions are provided to assist you with the completion of this form. If you have any questions about substantive tax principles, please contact your tax adviser or the applicable tax authority.

"Account Holder" The term "Account Holder" means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances, that other person is the Account Holder. For example, in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

"Entity" The term "Entity" means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

"Financial Account" A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

"Participating Jurisdiction" A Participating Jurisdiction means a jurisdiction with which an agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard and that is identified in the OECD automatic exchange of information portal.

"Reportable Account" The term "Reportable Account" means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

"Reportable Jurisdiction" A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place and that is identified in the OECD automatic exchange of information portal.

"Reportable Person" A Reportable Person is defined as an individual who is tax resident in a Reportable Jurisdiction under the tax laws of that jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purposes.

"TIN" (including "functional equivalent") The term "TIN" means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the OECD automatic exchange of information portal.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.